

# Java Performance

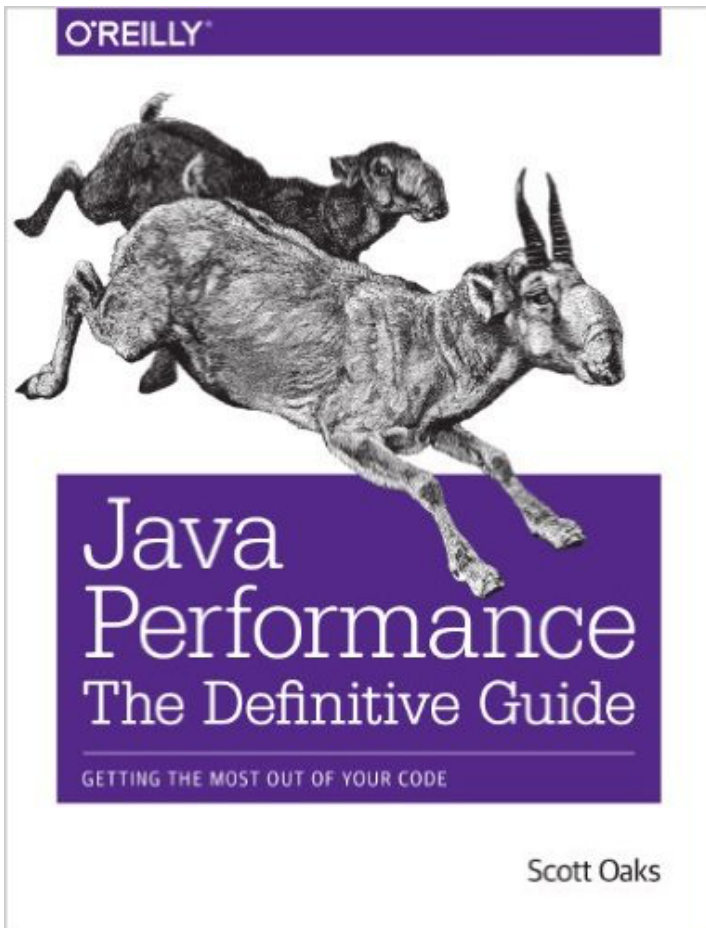
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<http://bergel.eu>

01-12-2021

# Source

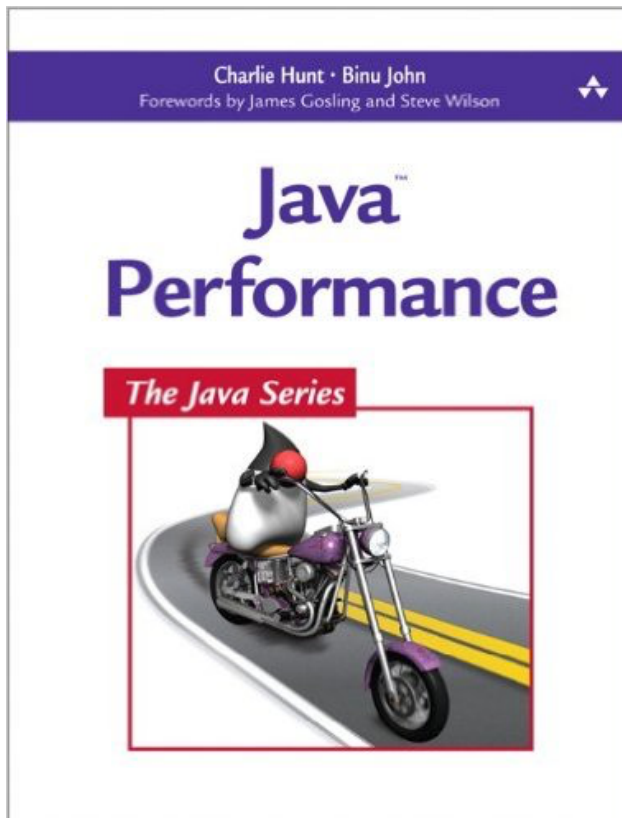
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Java Performance: The Definitive Guide  
O'Reilly Media, 978-1449358457  
May 2014

# Source

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Java Performance  
Charlie Hunt, Binu John, 2011  
978-0137142521

# Objective

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Lay out a basic methodology to measure the performance of an application

Describe the parameters that impact software execution performance

# Outline

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1. The complete story
2. An approach to performance testing
3. Specific tools
4. Example: Genetic Algorithm

# The complete story

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## Write better algorithm

Performance is based on how well the application is written

There is no magical `-XX:+RunReallyFast` option to be provided to the virtual machine

A good algorithm (or a proper use of an API) is the most important thing

# The complete story

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## Write less code

A small well-written program will have tendency to run faster than a large well-written program

More code is poured into an application, harder it is to keep it fast

# The complete story

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## Premature optimization

Donald Knuth said: “We should forget about small efficiencies, say about 97% of the time; premature optimization is the root of all evil”

Optimizing inevitably makes an application complex. It is important to make sure that each optimization is `_really_` necessary



# The complete story

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## The database is always the bottleneck

If you are developing standalone java applications that use no external resources, the performance of that application is all that matter

Once a database is added, then the performance of both programs is important

In a distributed environment, (e.g., Java EE application server), a load balancer, a database, and a backend enterprise information system, then the app performance does not matter much

Extracting multiple times the same information from the database is a common cause of poor performance

Or making too many requests instead of a single (but more complex) one

# The complete story

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## Optimize the common case

Not all performance aspects are equally important

Focus should be given to the common use case scenario

Write *simple algorithms for most common operations*

# An approach to performance testing

---

*Measuring performance* is fundamental in order to take any action

Here is a simple recipe

1. Define a set of *representative program executions*. These executions are called *benchmarks*
2. Identify the *relevant metrics to measure* these executions (time (e.g., seconds, milliseconds), memory (e.g., used bytes, number of garbage collections, number of objects instantiated))
3. *Measure* your benchmarks
4. *Modify* your application
5. Measure your benchmarks *again and compare*. Jump to 4

# Metrics for measurements

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Measuring the time is a natural and intuitive approach

However, time is a dimension that is difficult to measure (\*)

*Not stable*: two executions produces two different execution times

*Not comparable across different machine*: if you buy a new laptop, then you can throw away all your measurements

*Very sensitive to the environment*: having no warmup may significantly impact your measurements

*Multiple time*: wall-clock time is a natural measurement. However, execution time is consumed within the application, within the virtual machine (primitives or garbage collector), within the operating system. So which notion of time do you need?

(\*) *Counting Messages as a Proxy for Average Execution Time in Pharo*. Alexandre Bergel. *Proceedings of the 25th European Conference on Object-Oriented Programming (ECOOP'11)*

# Metrics for measurements

---

In addition to measuring time, it is important to pick a metric that is likely to drive your optimization effort

Some metrics may greatly simplify the benchmarks measurement

For example

- number of instances of a particular class

- number of processed requests

- number of accesses to a data base

# An approach to performance testing

---

There are several kinds of benchmarks. We will revise the most common kind of benchmarks, micro and macro

## Micro benchmark

Is a test designed to measure a very small unit of performance.

E.g., the time to call a synchronized method versus a non synchronized method

E.g., the time to execute a recursive algorithm versus an iterative implementation

# Micro-benchmark

---

```
public class MicroBenchmark {  
    private int nLoops;  
  
    public MicroBenchmark(int l) { nLoops = l; }  
  
    public void doTest() {  
        long then = System.currentTimeMillis();  
        for (int i = 0; i < nLoops; i++) {  
            fibImpl1(35);  
        }  
        long now = System.currentTimeMillis();  
        System.out.println("Elapsed time: " + (now - then));  
    }  
    ...  
}
```

# Micro-benchmark

---

```
public class MicroBenchmark {  
    private int nLoops;  
  
    public MicroBenchmark(int l) { nLoops = l; }  
  
    public void doTest() {  
        long then = System.currentTimeMillis();  
        for (int i = 0; i < nLoops; i++) {  
            fibImpl1(35);  
        }  
        long now = System.currentTimeMillis();  
        System.out.println("Elapsed time: " + (now - then));  
    }  
    ...  
}
```

This is what we are interested  
in measuring



# Micro-benchmark

---

```
...
private double fibImpl1(int n) {
    if (n < 0) throw new IllegalArgumentException ("Must be > 0");
    if (n == 0) return 0d;
    if (n == 1) return 1d;
    double d = fibImpl1(n - 2) + fibImpl1(n - 1);
    if (Double.isInfinite(d)) throw new ArithmeticException("Overflow");
    return d;
}

public static void main(String[] argv) {
    new MicroBenchmark(2).doTest();
}
}
```

# Micro-benchmark: Common pitfall

---

A smart compiler can guess that the expression:

```
fibImpl1(35);
```

produces a result that is not used (i.e., the result is not stored somewhere)

And since this computation does not do any side effect, the compiler may simply remove it, to actually have:

```
public void doTest() {  
    long then = System.currentTimeMillis();  
    long now = System.currentTimeMillis();  
    System.out.println("Elapsed time: " + (now - then));  
}  
...
```

# Micro-benchmark

---

```
public class MicroBenchmark {  
    private int nLoops;  
  
    public MicroBenchmark(int l) { nLoops = l; }  
  
    public void doTest() {  
        double l;  
        long then = System.currentTimeMillis();  
        for (int i = 0; i < nLoops; i++) {  
            l = fibImpl1(35);  
        }  
        long now = System.currentTimeMillis();  
        System.out.println("Elapsed time: " + (now - then));  
    }  
    ...  
}
```

# Micro-benchmark: Common pitfall

---

A smart compiler can guess that the expression:

```
l = fibImpl1(35);
```

is within a loop

And maybe avoid the multiple executions of that expression. In such a case, the argument has to vary, picked from an array for example

As you can see, micro-benchmarking is not a trivial thing to do

# Without prior execution

---

```
public void doTest() {  
    double l;  
    //fibImpl1(42);  
    long then = System.currentTimeMillis();  
    for (int i = 0; i < nLoops; i++) {  
        l = fibImpl1(42);  
    }  
    long now = System.currentTimeMillis();  
    System.out.println("Elapsed time: " + (now - then));  
}
```

Elapsed time: 4548

# With a prior execution

---

```
public void doTest() {  
    double l;  
    fibImpl1(42);  
    long then = System.currentTimeMillis();  
    for (int i = 0; i < nLoops; i++) {  
        l = fibImpl1(42);  
    }  
    long now = System.currentTimeMillis();  
    System.out.println("Elapsed time: " + (now - then));  
}
```

Elapsed time: 3405

# Warm-up period

---

```
public void doTest() {  
    double l;  
    fibImpl1(42);  
    long then = System.currentTimeMillis();  
    for (int i = 0; i < nLoops; i++) {  
        l = fibImpl1(42);  
    }  
    long now = System.currentTimeMillis();  
    System.out.println("Elapsed time: " + (now - then));  
}
```

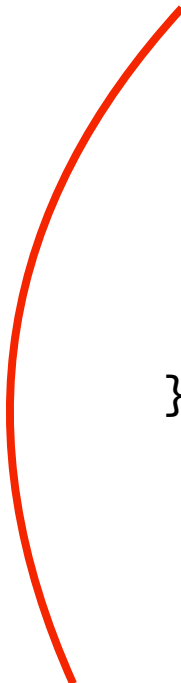
Elapsed time: 3405

Why is there a difference in execution time?

# Warm-up period

---

```
public void doTest() {  
    double l;  
    fibImpl1(42);  
    long then = System.currentTimeMillis();  
    for (int i = 0; i < nLoops; i++) {  
        l = fibImpl1(42);  
    }  
    long now = System.currentTimeMillis();  
    System.out.println("Elapsed time: " + (now - then));  
}
```



Elapsed time: 3405

This additional execution  
is called “warm-up”



# Warm-up

---

Code performs better the more it is executed

Micro-benchmarks *must include* a warm-up period

To give the *Just-In-Time compiler* a chance to produce optimal code

A warm-up period is required; otherwise, the micro benchmark is measuring the performance of compilation rather than the code it is attempting to measure

# Warm-up

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A warm-up phase is typically designed to make the compiler optimize code

A warm-up should not involve access to resources

E.g., when an application reads a file, the OS caches the file into memory. Reading the file a second time will therefore be faster

# An approach to performance testing

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## Macro-Benchmark

Complex systems are more than the sum of their part

They will behave quite differently when those parts are assembled

# Performance Anti-Patterns

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*Anti-patterns* are certain patterns in software development that are considered bad programming practices.

A number of anti-patterns have been identified

Fixing Performance at the End of the Project

Measuring and Comparing the Wrong Things

Algorithmic Antipathy

Reusing Software

Iterating Because That's What Computers Do Well

...

# Performance Anti-Patterns

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...

Premature Optimization

Focusing on What You Can See Rather Than on the Problem

Software Layering

Excessive Numbers of Threads

Asymmetric Hardware Utilization

Not Optimizing for the Common Case

<https://queue.acm.org/detail.cfm?id=1117403>

# Specific tools: monitoring system resource consumption

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Outside Java, several tools may be used, accessible from the command line:

`vmstat, top, iostat, nicstat`

These tools are useful to check for the disk, memory, and CPUs consumption

Naturally, graphical tools exists, however, it may be useful to programmatically get these info

# The case of web applications

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There are many open source and commercial load-generating tools

Faban (<http://faban.org>) is used to measure the performance of a simple URL

Run a simple GET request of logo.gif for 1000 clients:

```
fhb -J -server -J -Xmx3500m -J -Xms3500m -c 1000 http://localhost:8000/logo.gif
```

# Specific tools: monitoring Java activities

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The Java-Development-Kit offers many command lines tools

jconsole: provide a graphical view of JVM activities, including thread usage, class usage

jvisualvm: a GUI tool to monitoring a JVM, profile a running application, and analyze JVM heap

jcmt, jconsole, jhat, map, info, jstack, ...



# Example: Genetic Algorithm

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Very useful algorithm from the field of Artificial Intelligence

Mimic the process of natural selection of living species:

- A population is made of individual

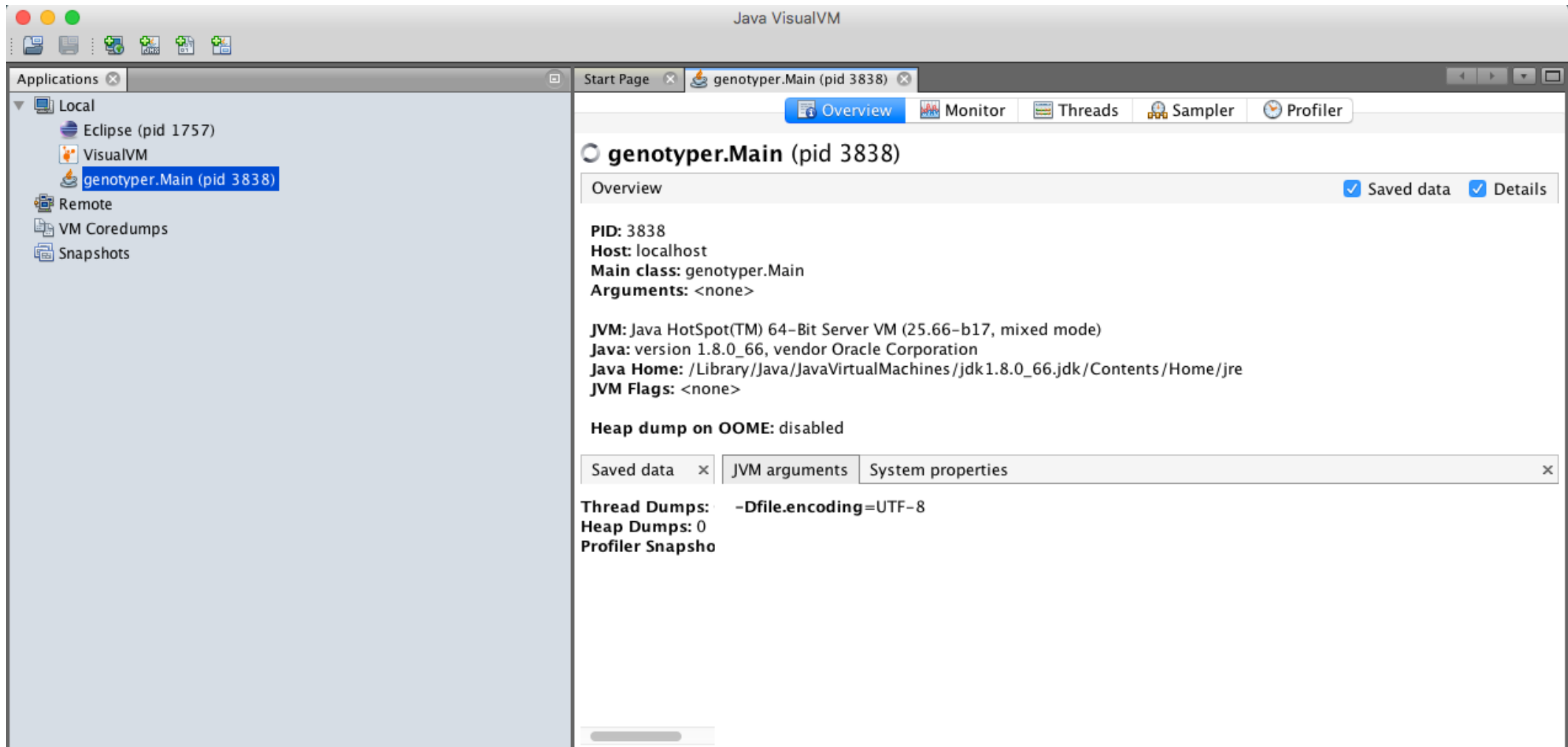
- An individual has a genotype, also called ADN

- At each generation, only the individual that are the closest of the problem solution survive

- At each generation, individual's genes may be subject to a cross-over or a mutation

# Example: Profiling GenoTyper

Run Main.java and execute jvisualvm in a terminal

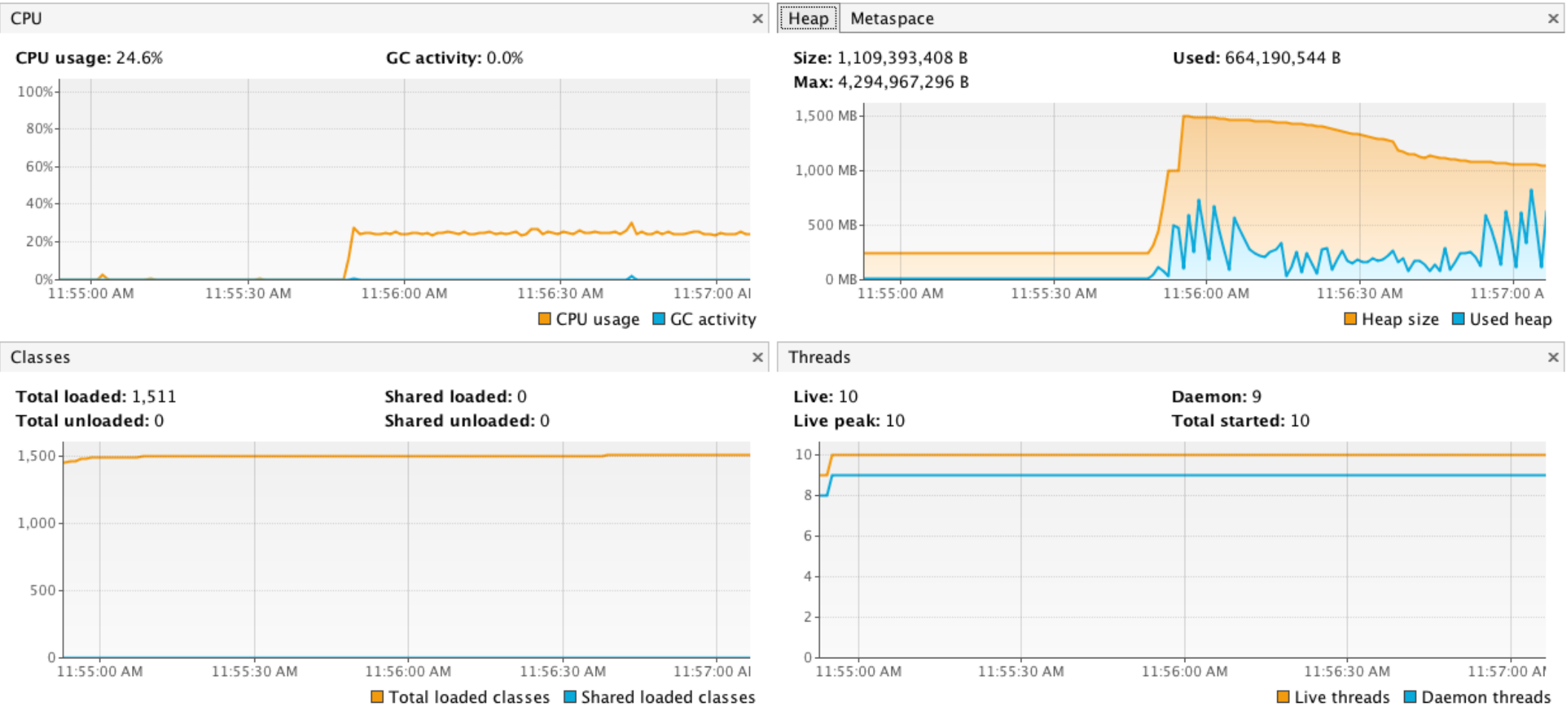


# Example: Profiling GenoTyper

Uptime: 3 min 14 sec

Perform GC

Heap Dump

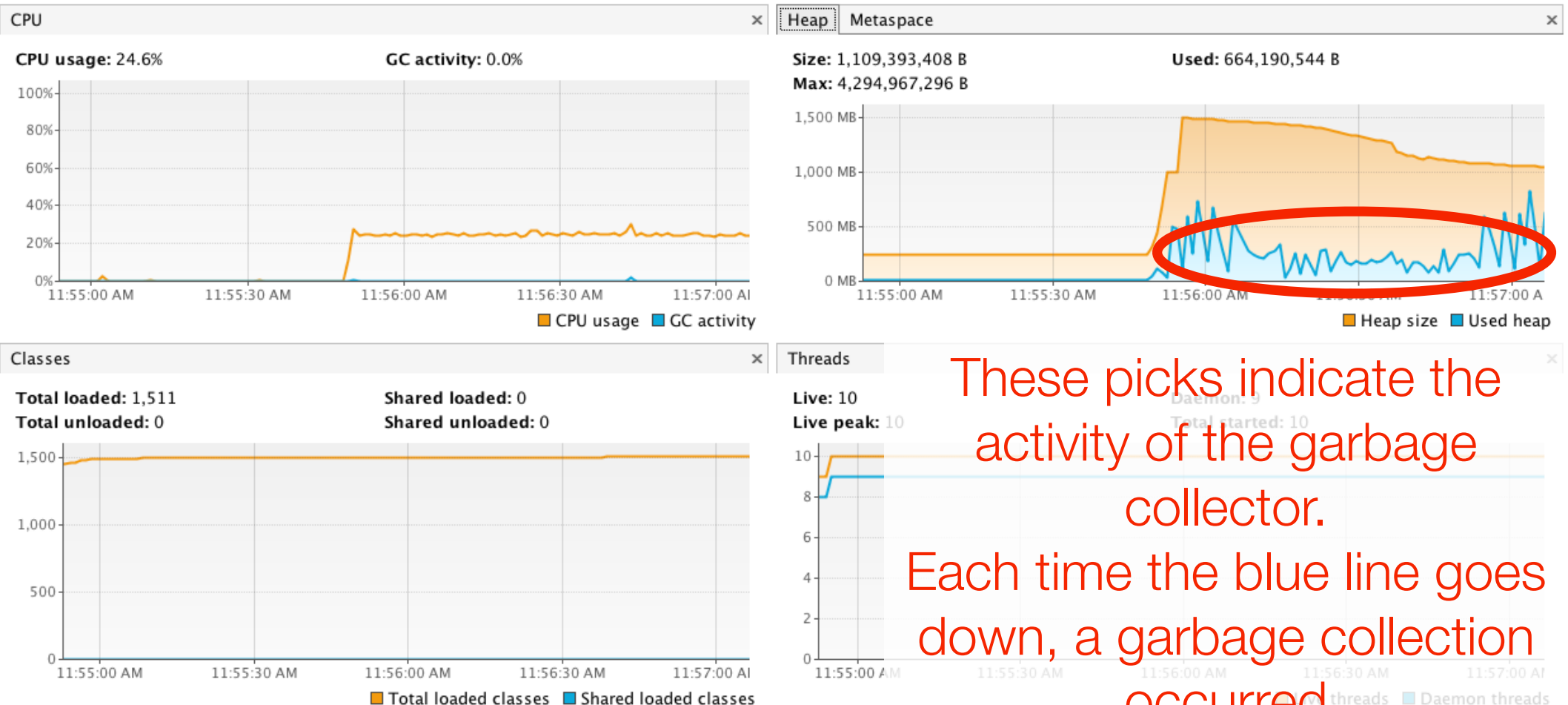


# Example: Profiling GenoTyper

Uptime: 3 min 14 sec

Perform GC

Heap Dump



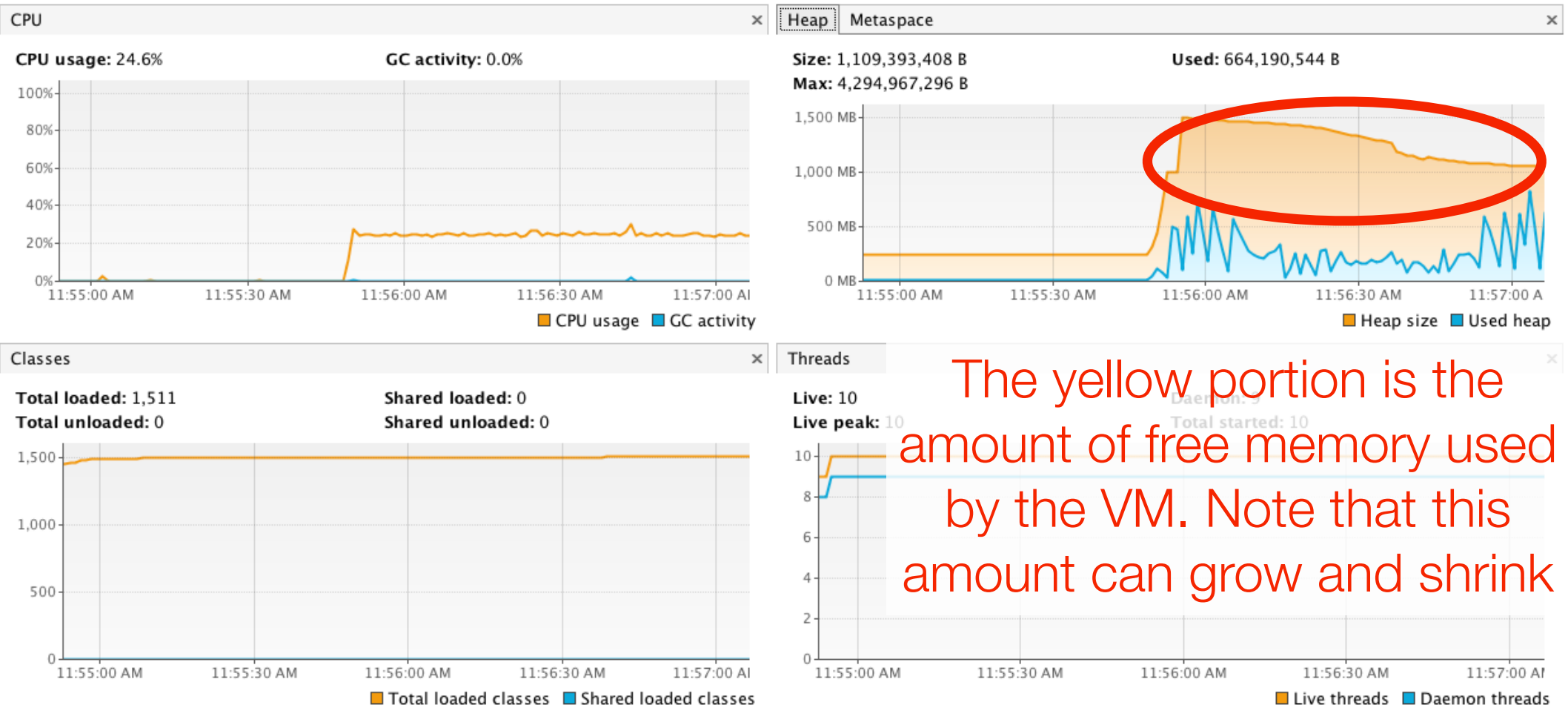
These picks indicate the activity of the garbage collector. Each time the blue line goes down, a garbage collection occurred

# Example: Profiling GenoTyper

Uptime: 3 min 14 sec

Perform GC

Heap Dump



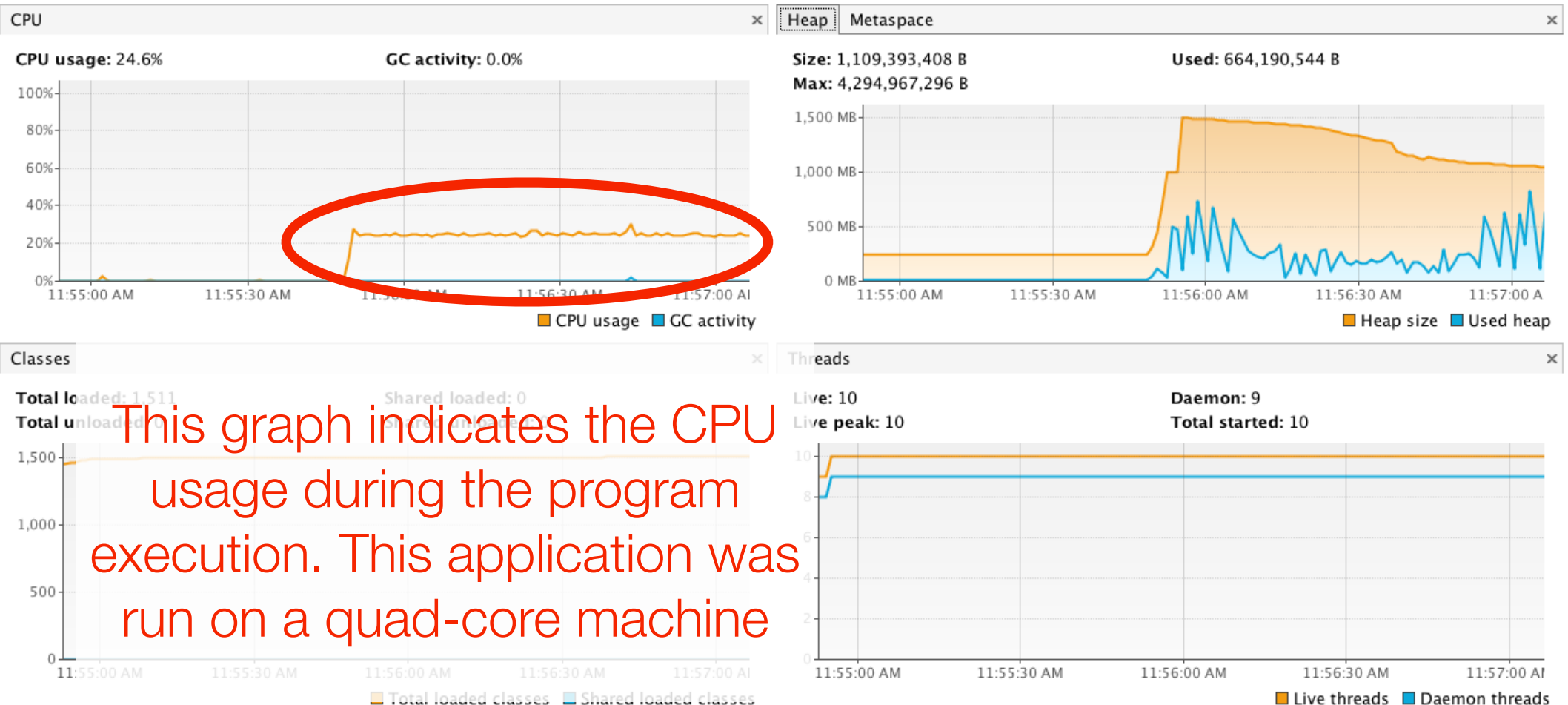
The yellow portion is the amount of free memory used by the VM. Note that this amount can grow and shrink

# Example: Profiling GenoTyper

Uptime: 3 min 14 sec

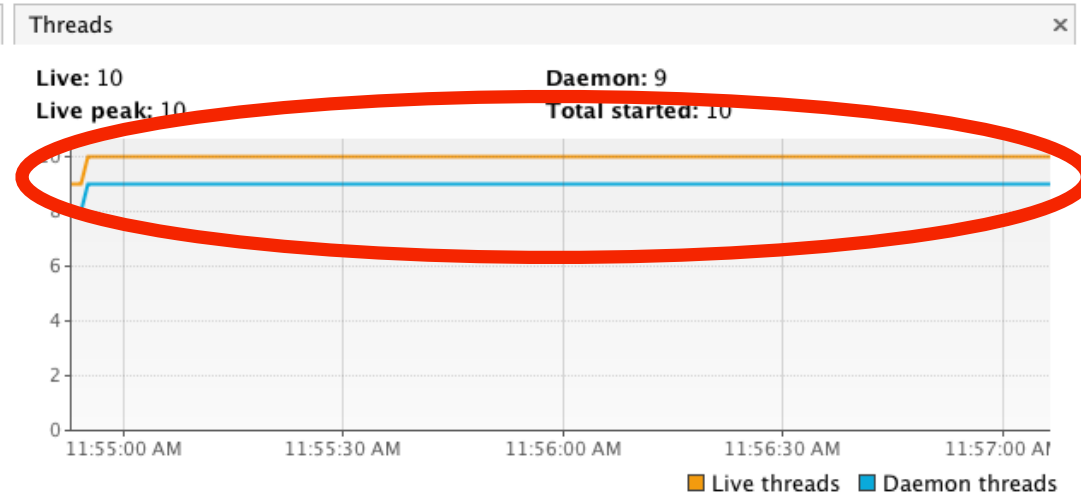
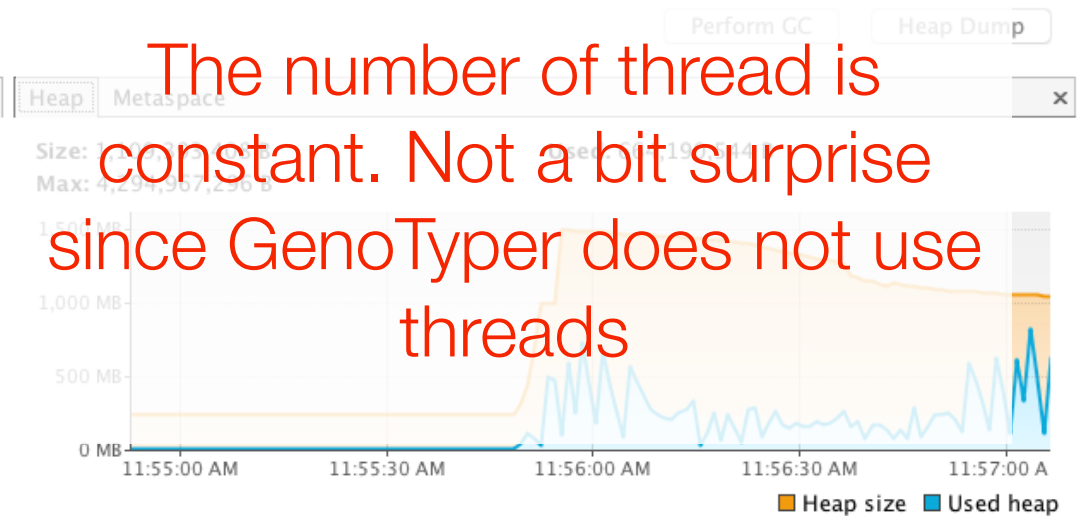
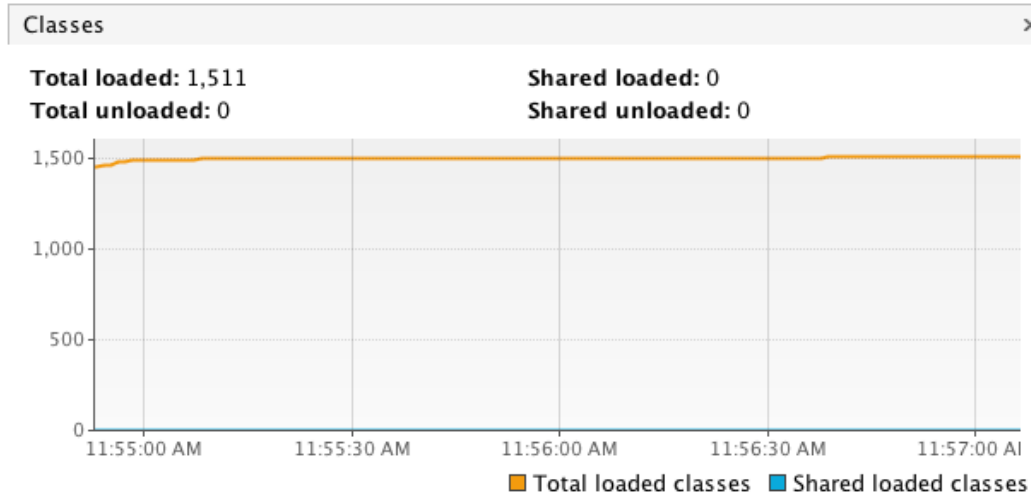
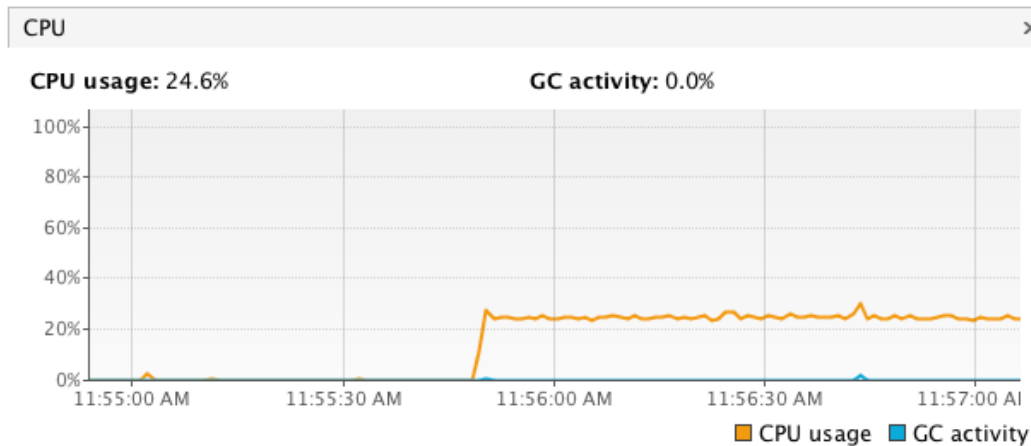
Perform GC

Heap Dump



# Example: Profiling GenoTyper

Uptime: 3 min 14 sec



The number of thread is constant. Not a bit surprise since GenoTyper does not use threads

# Example: Profiling GenoTyper

Start Page genotyper.Main (pid 4115)

Overview Monitor Threads **Sampler** Profiler [snapshot] 1:15:29 PM

**genotyper.Main (pid 4115)** Settings

Sampler

Sample: CPU Memory Stop

Status: CPU sampling in progress

CPU samples Thread CPU Time

Snapshot Thread Dump

Hot Spots - Method	Self Time [%]	Self Time	Self Time (CPU)	Total Time	Total Time (CPU)
org.netbeans.lib.profiler.server.ProfilerServer\$SeparateCmdExecutionThread.run ()	24.8%	1,679,089 ms	0.000 ms	1,679,089 ms	0.000 ms
org.netbeans.lib.profiler.server.Monitors\$SurvGenAndThreadsMonitor.run ()	24.8%	1,678,893 ms	0.000 ms	1,679,089 ms	195 ms
org.netbeans.lib.profiler.wireprotocol.WireIO.receiveCommandOrResponse ()	24.8%	1,678,482 ms	1,678,482 ms	1,678,482 ms	1,678,482 ms
org.netbeans.lib.profiler.server.ProfilerServer.getLastResponse ()	7.6%	516,828 ms	0.000 ms	516,828 ms	0.000 ms
org.netbeans.lib.profiler.server.ThreadInfo.getThreadInfoOrNull ()	6.7%	455,968 ms	455,968 ms	455,968 ms	455,968 ms
org.netbeans.lib.profiler.server.ProfilerRuntimeCPUFullInstr.methodExit ()	5.2%	353,303 ms	353,303 ms	741,182 ms	476,195 ms
org.netbeans.lib.profiler.server.ProfilerRuntimeCPUFullInstr.methodEntry ()	4.6%	310,244 ms	310,244 ms	762,245 ms	510,403 ms
org.netbeans.lib.profiler.global.TransactionSupport.beginTrans ()	0.5%	30,969 ms	0.000 ms	30,969 ms	0.000 ms
genotyper.problem.FindingBit.computeFitness ()	0.4%	25,207 ms	25,207 ms	984,707 ms	676,703 ms
org.netbeans.lib.profiler.server.ProfilerRuntimeCPU.writeTimeStampedEvent ()	0.1%	9,539 ms	9,539 ms	533,007 ms	16,179 ms
genotyper.Individual.generateGenes ()	0.1%	6,050 ms	6,050 ms	523,691 ms	367,920 ms
org.netbeans.lib.profiler.server.EventBufferManager.eventBufferDumpHook ()	0.1%	4,839 ms	4,839 ms	523,270 ms	6,442 ms
genotyper.Main.printWelcome ()	0.1%	4,105 ms	4,105 ms	4,105 ms	4,105 ms
genotyper.Individual.numberOfGenes ()	0%	1,723 ms	1,723 ms	602,658 ms	420,271 ms
org.netbeans.lib.profiler.wireprotocol.WireIO.sendComplexCommand ()	0%	1,603 ms	1,603 ms	1,603 ms	1,603 ms
genotyper.Population.evolve ()	0%	627 ms	627 ms	1,602,608 ms	1,113,598 ms
org.netbeans.lib.profiler.server.ProfilerServer.setLastResponse ()	0%	503 ms	503 ms	503 ms	503 ms
org.netbeans.lib.profiler.global.TransactionSupport.endTrans ()	0%	478 ms	478 ms	478 ms	478 ms
genotyper.Individual.geneAt ()	0%	330 ms	330 ms	47,196 ms	33,705 ms
genotyper.Individual.crossOverWith ()	0%	277 ms	277 ms	180,330 ms	125,319 ms
genotyper.Individual.geneAtPut ()	0%	261 ms	261 ms	43,497 ms	30,680 ms
org.netbeans.lib.profiler.server.system.Threads.getAllThreads (native)	0%	195 ms	195 ms	195 ms	195 ms

Method Name Filter (Contains)



# Example: Profiling GenoTyper

Start Page x genotyper.Main (pid 4115) x

Overview Monitor Threads **Sampler** Profiler [snapshot] 1:15:29 PM x

**genotyper.Main (pid 4115)**

Sampler Settings

Sample: CPU Memory Stop

Status: CPU sampling in progress

CPU samples Thread CPU Time

Snapshot

Thread Dump

Hot Spots - Method

Method	Self Time [%]	Self Time	Self Time (CPU)	Total Time (CPU)
org.netbeans.lib.profiler.server.ProfilerServer\$SeparateCmdExecutionThread.run ()	24.8%	1,679,089 ms	0.000 ms	1,679,089 ms
org.netbeans.lib.profiler.server.Monitors\$SurvGenAndThreadsMonitor.run ()	24.8%	1,678,893 ms	0.000 ms	1,679,089 ms
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genotyper.Individual.numberOfGenes ()	0%	1,723 ms	1,723 ms	602,658 ms
org.netbeans.lib.profiler.wireprotocol.WireIO.sendComplexCommand ()	0%	1,603 ms	1,603 ms	1,603 ms
genotyper.Population.evolve ()	0%	627 ms	627 ms	1,602,608 ms
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genotyper.Individual.geneAt ()	0%	330 ms	330 ms	47,196 ms
genotyper.Individual.crossOverWith ()	0%	277 ms	277 ms	180,330 ms
genotyper.Individual.geneAtPut ()	0%	261 ms	261 ms	43,497 ms
org.netbeans.lib.profiler.server.system.Threads.getAllThreads(native) ()	0%	195 ms	195 ms	195 ms

Method Name Filter (Contains)

The CPU samples tab give an estimation of the consumption per method

# Estimating the CPU consumption

---

```
public class Main {  
    public void foo() {  
        this.bar();  
    }  
    public void bar() { }  
  
    public static void main(String[] args) {  
        new Main().foo();  
    }  
}
```

# Estimating the CPU consumption

---

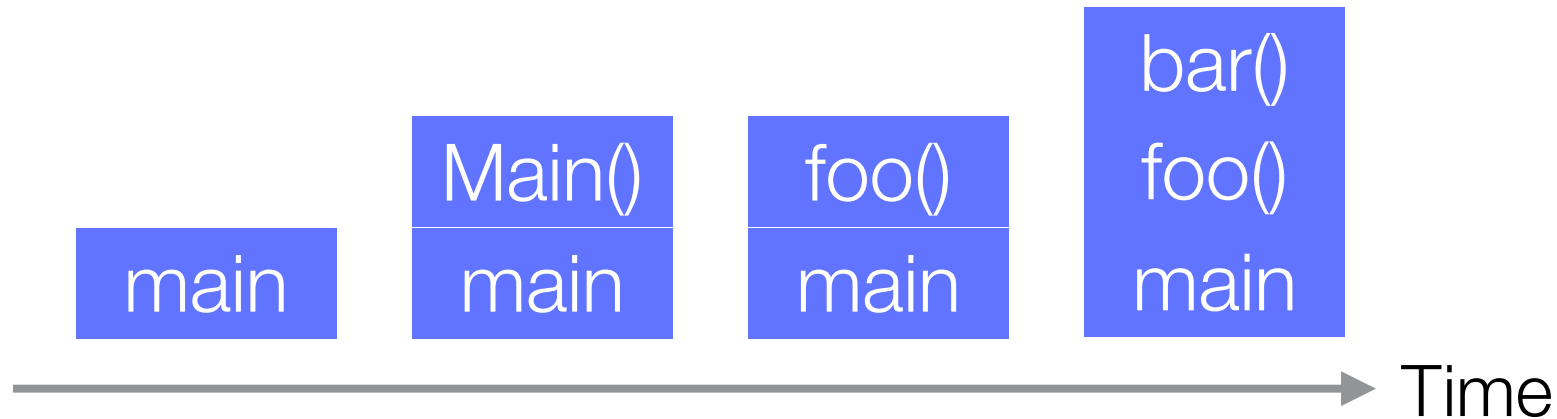
```
public class Main {  
    public void foo() {  
        this.bar();  
    }  
    public void bar() { }  
}
```

```
public static void main(String[] args) {  
    new Main().foo();  
}
```



# Estimating the CPU consumption

---



main consumes 100% of the execution time

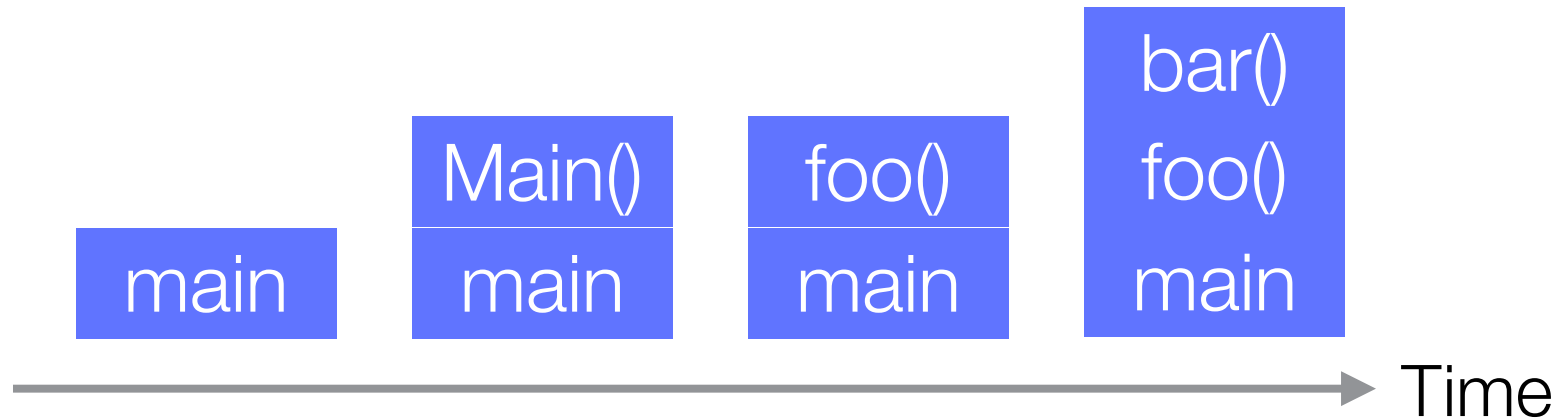
➔ Main() consumes 25%

foo() 50%

bar() 25%

# Estimating the CPU consumption

---



main consumes 100% of the execution time  
➡ Main() consumes 25%  
foo() 50%  
bar() 25%

This example is a rough approximation

# Estimating the CPU consumption

---

Sampling the execution is typically done every 10 milliseconds (approx)

At each sample, info are extracted from the method call stack

The control flow can also be obtained and used for analysis

# Example: Profiling GenoTyper

Start Page x genotyper.Main (pid 4115) x

Overview Monitor Threads Sampler Profiler [snapshot] 1:15:29 PM x

genotyper.Main (pid 4115)

Sampler Settings

Sample: CPU Memory Stop

Status: CPU sampling in progress

CPU samples Thread CPU Time

Snapshot Thread Dump

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org.netbeans.lib.profiler.global.TransactionSupport.endTrans ()	0%	478 ms	478 ms	478 ms	478 ms
genotyper.Individual.geneAt ()	0%	330 ms	330 ms	47,196 ms	33,705 ms
genotyper.Individual.crossOverWith ()	0%	277 ms	277 ms	180,330 ms	125,319 ms
genotyper.Individual.geneAtPut ()	0%	261 ms	261 ms	43,497 ms	30,680 ms
org.netbeans.lib.profiler.server.system.Threads.getAllThreads(native) ()	0%	195 ms	195 ms	195 ms	195 ms

Method Name Filter (Contains)

# Example: Profiling GenoTyper

Start Page x genotyper.Main (pid 4115) x

Overview Monitor Threads Sampler Profiler [snapshot] 1:15:29 PM x

genotyper.Main (pid 4115)

Profiler Snapshot

View: Methods

Call Tree - Method

	Total Time [%] ▼	Total Time	Total Time (CPU)
main			
genotyper.Main.main ()		1,679,089 ... (100%)	1,166,321 ms
genotyper.Population.evolve ()		1,679,089 ... (100%)	1,166,321 ms
genotyper.Population.tournamentSelection ()		1,590,468 ... (94.7%)	1,105,518 ms
genotyper.Individual.crossOverWith ()		1,240,980 ... (73.9%)	864,400 ms
genotyper.Individual.geneAt ()		178,620 ms (10.6%)	124,119 ms
genotyper.Individual.<init> ()		46,790 ms (2.8%)	33,505 ms
genotyper.Individual.numberOfGenes ()		45,418 ms (2.7%)	30,707 ms
genotyper.Individual.geneAtPut ()		43,433 ms (2.6%)	29,447 ms
java.lang.Integer.valueOf ()		42,653 ms (2.5%)	30,134 ms
org.netbeans.lib.profiler.server.ProfilerRuntimeCPUFullInstr.rootMethodEntry ()		237 ms (0%)	237 ms
Self time		47.2 ms (0%)	47.2 ms
genotyper.Population.fittestIndividual ()		39.8 ms (0%)	39.8 ms
genotyper.Population.create ()		85,318 ms (5.1%)	56,540 ms
genotyper.Individual.mutate ()		42,878 ms (2.6%)	30,512 ms
Self time		42,044 ms (2.5%)	29,318 ms
genotyper.Population.fittestIndividual ()		627 ms (0%)	627 ms
genotyper.Main.printWelcome ()		83,716 ms (5%)	56,306 ms
genotyper.Population.createAndGenerateIndividual ()		4,105 ms (0.2%)	4,105 ms
genotyper.Individual.fitness ()		595 ms (0%)	391 ms
Self time		202 ms (0%)	0.000 ms
Self time		0.000 ms (0%)	0.000 ms
*** Profiler Agent Communication Thread		1,679,089 ... (100%)	1,679,089 ms
*** JFluid Monitor thread ***		1,679,089 ... (100%)	195 ms
*** Profiler Agent Special Execution Thread 6		1,679,089 ... (100%)	0.000 ms
RMI TCP Connection(idle)		31,099 ms (100%)	280 ms

Method Name Filter (Contains)



# What you should know!

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How to measure an application performance?

What is a warm up phase?

Why is it important to consider warm up?

# Can you answer these questions?

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How the garbage collectors and the thread contribute to the instability of the execution time?

How to measure the number of instances of a particular class

What are the limitation of CPU sampling profiling?

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