

Parameterized Complexity – Kernelization

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CC61X: Diseño y Analisis de Algoritmos Adaptivos

Kernelization

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Reminder

Introduction to
Kernelization

Kernelization Algorithm:
definition

Example: a quadratic
kernel for Vertex Cover

A problem is FPT iff it
has a kernel

Crown
Decomposition

Crown Decomposition:
definition and example

Crown Reduction for
Vertex Cover

Vertex Cover has a
linear kernel

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The Basics

A **PARAMETERIZED** PROBLEM

- Input: an instance of the problem
- **Parameter:** a parameter k
- Question: a Yes–No question about the instance and the parameter

P : class of problems that can be solved in time $n^{O(1)}$

FPT : class of problems that can be solved in time $f(k) \cdot n^{O(1)}$

$W[\cdot]$: parameterized intractability classes

$$P \subseteq FPT \subseteq W[1] \subseteq W[2] \cdots \subseteq W[P]$$

$\uparrow$
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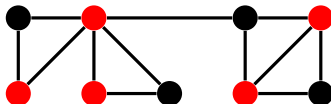
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VERTEX COVER (VC)

- Input: A graph $G = (V, E)$ on n vertices, an integer k .
- Parameter: k
- Question: Is there a set of vertices $C \subseteq V$ of size at most k such that every edge has at least one endpoint in C ?



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Kernelization Algorithm: definition

Definition 1 (Kernelization Algorithm)

A **kernelization algorithm** is a **polynomial time** algorithm, taking as input an instance (I, k) of a parameterized problem, and returning an **equivalent** instance (I', k') of the **same** problem such that $|I'| \leq g(k)$.

We say that the problem has a $g(k)$ -kernel.

$$\begin{array}{ccc} \Pi & \longrightarrow & \Pi \\ (I, k) & \longmapsto & (I', k') \\ & \uparrow & \\ & \text{poly}(|I|) & \end{array}$$

(I, k) Yes-instance $\Leftrightarrow (I', k')$ Yes-instance

$|I'| \leq g(k)$ for some function g

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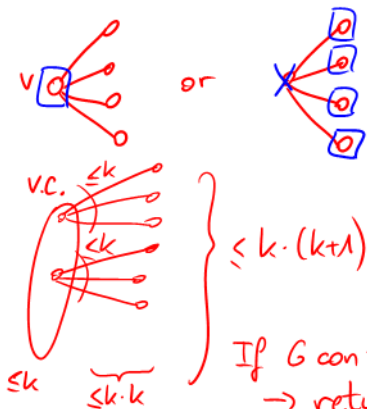
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VC has a $(k \cdot (k + 1))$ -kernel



If G contains more than $k \cdot (k+1)$ vertices
 $\rightarrow$ return NO
 trivial No-instance $(0, 0)$
 $\Rightarrow k \cdot (k+1)$ - kernel

- $d(v) \geq k+1$
 $\rightarrow$ every k -v.c. contains v
 $\leadsto (G-v, k-1)$

- $d(v) = 0$
 $\rightarrow$ no min. v.c. contains v
 $\leadsto (G-v, k)$

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A problem is FPT iff it has a kernel

Theorem 2

Let Π be a decidable parameterized problem.

$\Pi \in \text{FPT} \iff \Pi$ has a $g(k)$ -kernel for some function g .

Proof $\boxed{\Leftarrow}$ Reduce to kernel + brute-force algo.
 $O(n^c) \quad + \quad f(k)$

$\boxed{\Rightarrow}$ $\exists f(k) \cdot n^c$ -time algo.

If $|\Pi| \geq f(k)$, then run algo: $f(k) \cdot n^c \leq n^{c+1}$
and output trivial Yes/No-instance

If $|\Pi| < f(k)$, then output (Π, k)

$f(k)$ -kernel

$\square$

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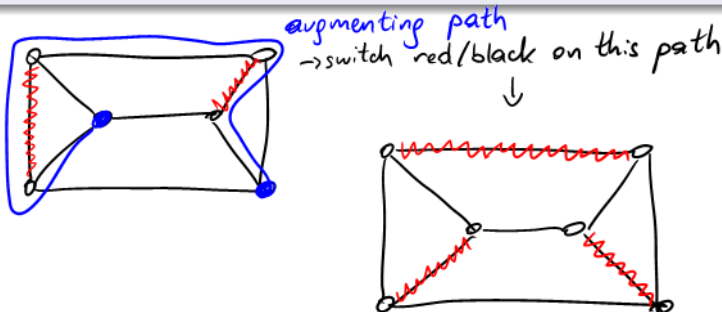
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Matching: Definition and Example

Definition 3 (Matching)

A **matching** M in a graph is a set of edges such that no two edges of M share an endpoint.



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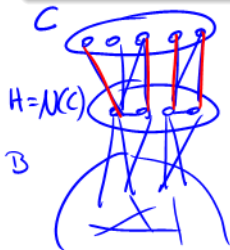
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Crown Decomposition: Definition

Definition 4 (Crown Decomposition)

A crown decomposition (C, H, B) of a graph $G = (V, E)$ is a partition of V into sets C, H , and B such that

- the crown C is a non-empty independent set,
- the head H contains all the neighbors of the vertices in C ,
- the body B contains the remaining vertices $V \setminus N[C]$, and
- there is a matching of size $|H|$ in $G[H \cup C]$.



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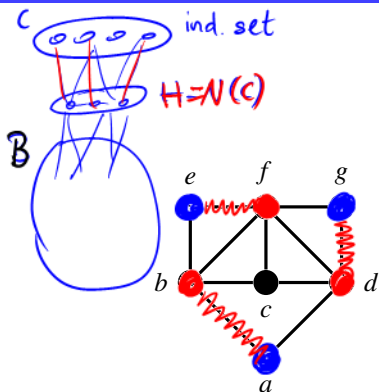
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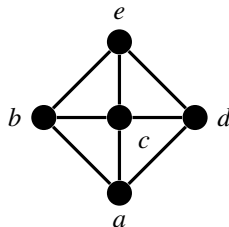
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Crown Decomposition: Examples



has crown dec.



has no crown dec.

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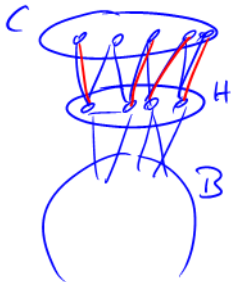
VC and Crown Decomposition

Lemma 5

Suppose that $G = (V, E)$ has a crown decomposition (C, H, B) .

$$vc(G) \leq k \iff vc(G[B]) \leq k - |H|,$$

where $vc(G)$ denotes the size of the smallest vertex cover of G .



v.c. has ≥ 1 vertex from every edge
of the matching
we need $\geq |H|$ vertices to cover edges
in $G[C \cup H]$

$\rightarrow$ optimal to add H to the v.c.

$$\leadsto (G - H - C, k - |H|)$$

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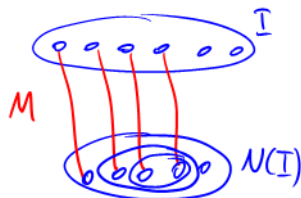
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Finding a Crown Decomposition

Lemma 6

If $I \subseteq V$ is an independent set in G such that $|N(I)| < |I|$, then a crown decomposition (C, H, B) of G can be found in linear time.



$V(M) := \text{end points in } M$

$C^0 := N(I \setminus V(M))$

$C^i := N(N_M(C^{i-1}))$

$C := C^\infty$ $\xleftarrow{\text{nbs in } (V, M)}$

$(N_M(C) \cup (I \setminus V(M)), C, V \setminus \dots)$

[Chen, Fellows, Juedes - WG'04]

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$M :=$ maximum matching of G [Edmonds]

If $|M| > k$, answer NO

$I := V \setminus V(M)$

If $|I| \leq 2k$, output (G, k)

$(C, H, B) :=$ crown dec. of G

$\leadsto$ recurse with $(G[B], k - |H|)$

$\Rightarrow 4k$ -kernel for V.C.

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